A Dutch report on the ethics of neonatal care – a commentary

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Abstract
The moral arguments and the decision-making processes arising from them in the context of the dilemmas that arise in considering the appropriateness and implementation of withholding or withdrawing treatment in certain neonates form the basis of this commentary.

It is concluded that the differing opinions on management of these babies by individual paediatricians results from their differing moral outlooks rather than from any incoherence in the moral arguments set out in the Dutch report.

The principles on which the decision to continue or discontinue life-prolonging medical treatment for babies and the consequences of the decision, once reached, are set out in the Dutch report Doen of Laten? (To Do or Not To Do?) summarized by Versluys and de Leeuw (1). They have been further discussed by Sauer (2). In arriving at a decision, the questions listed under ‘must be asked’ derive from the moral standpoint that under certain circumstances, neonates lose the right to have their lives prolonged through surgical, medical or possibly ‘routine’ interventions. The rights themselves are often seen to derive from the fact that neonates either have, or possess a potential to develop, the attributes of personhood including self-awareness, the capacity to reason and experience emotional interactions with others, and to formulate objectives based on experience which they may then achieve by initiating appropriate actions. This development of an individual human identity or personality which can be expressed has been offered as the justification for providing lifesaving care for newborns, including very prematurely born babies. Such a moral standpoint, whereby some infants may be seen to be ‘so disabled that they can never become persons with the rights that accompany this status’ (3) is, however, quite unacceptable to many paediatricians and child-carers.

None the less, the three alternative types of care described in this report, viz continue maximum intensive care and extend if necessary, maintain status quo, stop the most intensive form of treatment (with a view to allowing the infant to die); would find support among most practising neonatologists and would account for some half or more deaths occurring in neonatal intensive care units in the UK today.

Difficulties arise (a) when it is agreed that there is a loss of rights to have life prolonged and, following withdrawal of ventilator care, life continues; (b) when there is every indication that with continuing nutrition and baby care, the child will live and (c) in the consideration of whether there is a moral distinction to be made between discontinuing intensive (for example, ventilator) care and discontinuing ‘normal’ care, such as feeding via a tube into the stomach – a form of support required by all prematurely born infants before they develop the capacity to co-ordinate sucking and swallowing and by very brain-damaged infants whose neuro-developmental maturation has been interrupted.

So far as (c) is concerned, a cogent moral argument has been presented for considering the two levels of care equally in terms of withdrawal when considering the discontinuation of life-prolonging therapies (3). If good moral practice embodies the notion that the clinician should always act in a child’s best interests, then it may be seen that the continuation of any level of care, in the face of a predicted very severe outcome for the baby, could be regarded as irresponsible behaviour; care, in this context, would include even basic requirements such as food and hydration though not the provision of warmth and normal hygiene. It has also been argued that even where parents might wish treatment to continue, there could be circumstances under which it would be morally incumbent on the clinician to refuse to initiate further treatment if, by adopting that stance, he or she would be acting in the best interests of the child.

However, as indicated in the Dutch report, and as expressed by many practising neonatologists, the translation of this moral argument into clinical neonatal practice would not receive wide support,
and in particular most neonatologists would dissent from withdrawing ‘regular’ care. The initiation of medication with the intention of bringing about the death of a baby who becomes self-sustaining following disconnection from a ventilator or who is surviving with ‘minimal’ care, apart from being illegal, is even more ethically controversial and indeed, as appears in the Dutch report, would seem to be supported at present by only a minority of paediatricians there. Even they would intervene in this way only for babies whose life is predicted with ‘all possible certainty’ to be so filled with suffering that death is seen as a more humane alternative than life. In this circumstance most neonatologists in the UK would adopt the policy of allowing nature to take its course by avoiding additional interventions if they become indicated for the purpose of prolonging the life further (for example, the use of antibiotics in a life-threatening infection).

Even in Holland it has been claimed that most decisions concerning the end of life in children involve non-treatment decisions, not active euthanasia (4).

Adoption of the inactive approach, occupying a different moral standpoint, is perhaps not surprising and Chiswick (5), in his commentary on Doyal and Wilsher’s guidelines (3) has touched on one possible reason that may underly the desire to avoid involvement with hastening the death of those not requiring intensive care. This derives from the experience that many paediatricians will have had in the process of co-operating with parents and other health care workers in setting up support services for families of very severely damaged children. Such support services aim to satisfy parental needs as well as the best interests of the child. However, although these services may impact upon the child’s welfare, the paediatrician’s compliance with parental wishes may not necessarily be always in the child’s best interests. Indeed, such children do survive and have rights but not necessarily, it may be claimed, for life prolonging treatment so much as for ordinary loving care and comfort. Increasing support for such an approach, which excludes euthanasia, is probable on humanitarian grounds as the needs of the handicapped are increasingly voiced.

Whilst the openness and increasing public involvement in these issues that has occurred in recent years, and which is very apparent in the Dutch report, is to be welcomed, individual differences in moral outlook are likely, as in Holland, to result in different approaches to the management of severely damaged infants by paediatricians in spite of coherent moral arguments put forward by ethicists, even when those arguments fall within existing and sometimes tested national legal frameworks.

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References
(5) Chiswick M L. Commentary on reference (3): F70.