CLINICAL ETHICS

How physicians face ethical difficulties: a qualitative analysis

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Background: Physicians face ethical difficulties daily, yet they seek ethics consultation infrequently. To date, no systematic data have been collected on the strategies they use to resolve such difficulties when they do so without the help of ethics consultation. Thus, our understanding of ethical decision making in day to day medical practice is poor. We report findings from the qualitative analysis of 310 ethically difficult situations described to us by physicians who encountered them in their practice. When facing such situations, the physicians sought to avoid conflict, obtain assistance, and protect the integrity of their conscience and reputation, as well as the integrity of the group of people who participated in the decisions. These goals could conflict with each other, or with ethical goals, in problematic ways. Being aware of these potentially conflicting goals may help physicians to resolve ethical difficulties more effectively. This awareness should also contribute to informing the practice of ethics consultation.

Objective: To identify strategies used by physicians in dealing with ethical difficulties in their practice.

Design, setting, and participants: National survey of internists, oncologists, and intensive care specialists by computer assisted telephone interviews ($n = 344$, response rate = 64%). As part of this survey, we asked physicians to tell us about a recent ethical dilemma they had encountered in their medical practice. Transcripts of their open-ended responses were analysed using coding and analytical elements of the grounded theory approach.

Main measurements: Strategies and approaches reported by respondents as part of their account of a recent ethical difficulty they had encountered in their practice.

Results: When faced with ethical difficulties, the physicians avoided conflict and looked for assistance, which contributed to protecting, or attempting to protect, the integrity of their conscience and reputation, as well as the integrity of the group of people who participated in the decisions. These efforts sometimes reinforced ethical goals, such as following patients' wishes or their best interests, but they sometimes competed with them. The goals of avoiding conflict, obtaining assistance, and protecting the respondent's integrity and that of the group of decision makers could also compete with each other.

Conclusion: In resolving ethical difficulties in medical practice, internists entertained competing goals that they did not always successfully achieve. Additionally, the means employed were not always the most likely to achieve those aims. Understanding these aspects of ethical decision making in medical practice is important both for physicians themselves as they struggle with ethical difficulties and for the ethics consultants who wish to help them in this process.

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Physicians frequently encounter ethical difficulties.^{1, 2} How do they resolve them? Ethics committees and consultation services are increasingly common in US hospitals, but most ethically difficult situations are not referred to them. Despite this, most research regarding the resolution of ethical difficulties in clinical practice has focused on the experience of ethics committees and consultation services.^{3–8} There has been no systematic, empirical examination of the values or the strategies actually employed by physicians to deal with the ethically problematic situations they face without help from ethics committees or consultants.

Moral reasoning and the moral development of health care providers has been studied using standardised tools.^{9–13} However, the association between moral reasoning and actual or intended action does not seem to be strong.^{14, 15} This suggests that situational features not usually included in the scope of the studies, such as time constraints or interprofessional relations, may greatly influence the way in which ethical decision making actually takes place. Such situational features have not been subject to analysis, since studies have mostly used responses to hypothetical or

otherwise standardised cases.^{16–18} This approach requires prior selection of those elements of a case that are deemed to be relevant and there is thus an inherent risk of disregarding elements that would have been deemed relevant by the decision makers in real situations. The study of real cases brought by the respondents themselves, including their own account of what they actually did, is therefore important in exploring the way ethical difficulties play out in practice.

We conducted a survey to explore the experiences of US internists regarding the ethical difficulties they encountered in their practice and their perception of ethics support services. Other data from this survey have been reported elsewhere.¹⁹ We present the findings from a qualitative analysis of open-ended questions designed to explore physicians' strategies and goals in dealing with ethical difficulties. Our purpose here is to describe these strategies and goals. By making them explicit we aim to help to inform the process of ethical decision making in clinical practice for both physicians and clinical ethics consultants. It should be clear that the data would not permit judgements of individual decisions as right or wrong, and that this is not the purpose here.

METHODS

Sample

The participants were general internists, oncologists, and intensive care physicians, identified by random sampling from the American Medical Association Master List of Physicians and Medical Students for Mailing Purposes, which provides a comprehensive list of physicians practicing in the USA and is not limited to members of the American Medical Association. These specialties were chosen to capture physicians who are active in the primary care setting, as well as those providing highly technological care. US general internists receive 3 years of training in the specialty of internal medicine. The oncologists and intensive care specialists included in this study also have internal medicine training, with an additional 2 years of fellowship training in oncology or critical care medicine respectively. Since the purpose was to explore an aspect of clinical experience, physicians were eligible to participate if they reported practicing medicine for at least 1 year prior to the survey, and spending at least 20% of their time on direct patient care. Of the 600 physicians originally identified, 537 were eligible. Of these, 344 (64%) responded to the survey.

Data collection

Using computer assisted telephone interviews, we conducted a cross-sectional survey including both closed- and open-ended questions (questionnaire available upon request).²⁰ Interviews were conducted between October 1999 and March 2000 by trained interviewers from the Center for Survey Research at the University of Massachusetts, Boston. The interviews took an average of 26 minutes to complete.

Participants were contacted by telephone and told about the study purpose and interview process. Participation was voluntary and did not involve the collection of personally identifiable information. This study was exempted from review by an institutional review board by the Office of Human Subjects Research at the National Institutes of Health.

All respondents were asked the following three open-ended questions:

- Can you describe a recent ethical dilemma you experienced at your main practice site?
- What do you consider to be the primary ethical issue or dilemma raised by the situation?
- Please briefly describe the decisions that were made as the situation played itself out.

The responses to these questions were entered into a database by the telephone interviewers during the interviews. Transcripts of responses may have left certain aspects of respondents' words aside, but these omissions are unlikely to have importantly affected the respondents' intended meaning. These responses were imported into QSR NUD*IST version N6 (QSR International, Victoria, Australia) qualitative research software to facilitate data analysis.

Data analysis

We adapted coding and analytical elements of grounded theory for this study.²¹⁻²² Although the structured approach to data collection would not allow for a formal grounded theory study, the size and richness of the dataset nonetheless made this approach likely to yield a useful range of inferences.

The first step involved examining the data, breaking them down, and making comparisons and conceptualisations, which were then labelled with a set of codes. Examples are given in table 1. We initially broadly classified codes as "issues", "processes", and "values", and coded values as either "prioritised" or "not prioritised" according to whether they were fostered or undermined by the decision made.

Table 1 Examples of codes

Issues	Incurable or dying patient disagreement
	Refusal of intervention
Problematic proxy	Uncertainty
	About the best goal
Problematic requests	About decision-making capacity
	For futile treatment
Handling information	For silence
	For assisted death
Communication	Confidentiality
	Truth telling
Resource allocation	Lack of knowledge/limits of medicine
	Intrusion of outsiders into decision making
Conflict with rules or regulations	Problems with colleagues
	Conflict of interest
Refusal of intervention	Refusal of intervention
	Doing what is best
Values	For the patient
	For the family
Inclusiveness	Respect for the patient's self-determination
	Respect for individuality
Defending life	Patient advocacy
	Truth telling
To the patient	To the patient
	To the family
To others	Confidentiality
	Duty to care for all
Avoid causing harm	Maintaining physician-patient relationship
	Resource stewardship
Maintaining integrity	Preventing abuse
	Maintaining integrity
Compliance with rules and regulations	Compliance with rules and regulations
	Maintaining collegiality
Protecting safety	Protecting safety

In the second step, we reassembled the initial concepts into groups, or categories, according to relationships identified in the data, and broadened these categories to capture their different aspects and associations. For example, one participant said he had "agreed to buy time to allow the daughter to come around". Initially, this phrase was coded as an example of "waiting" (a "process" code). This was then seen to be one of several strategies used in "avoiding conflict", and this category was broadened to account for its different aspects such as the means used, the values fostered, the types of conflict avoidance, and the relationship to "looking for assistance".

In the final step, we identified the core category of "integrity". Although integrity is often used as a synonym for "honesty", it is used here in its broader sense of wholeness, acting in accordance with one's principles, and the integration of personality into a harmonious whole.²³⁻²⁴ "Looking for assistance" and "avoiding conflict" were seen as ways to protect the integrity of the group constituted by the decision makers, and of the respondent as a moral agent.

Two of the authors (SAH and SCH) met regularly and double coded 10% of the dataset at various stages during the project to ensure that concepts were clearly defined and appropriately derived from the data, and that codes were being used consistently. Early data were recoded using the main concepts that emerged during later stages of the analysis.

In qualitative analysis, the appropriate sample size is reached when new data consistently fail to contribute new concepts or refinement to the emerging theory, a point in the

analytical process known as “theoretical saturation”. Since the questions were included in a survey administered to a random sample of physicians, we were not able to conduct additional interviews during the process of theory building. However, the dataset was rich enough to enable us to reach theoretical saturation for the main structural elements of the theory.²⁵

For purposes of reading ease, quotes presented in this article have been completed from the telegraphic style in which transcripts were taken. Additions are identified by []; where a part of the quote is left out, this is indicated by an ellipsis.

RESULTS

Participants

Respondents were predominantly male, Caucasian, and born in the USA (table 2). Their ages ranged from 26 to 79 years. The sociodemographic profile of respondents in the sample was parallel to that of US internists as a population.²⁶ Of the respondents, 310 (90%) could recall a recent ethical dilemma. There were no significant differences between the group of respondents who gave an ethics example and those who did not, with the exception of specialty. Critical care specialists recalled an ethical dilemma more often than either oncologists or general internists, and oncologists did so more often than general internists.

Issues, persons, and values

The first stage of the analysis included the identification of the issues most frequently reported by respondents, the persons most often mentioned as having been included in decisions, and the values most often recognised by respondents. We report the percentages of responses in which they are present as an indication of their salience in the dataset (table 3).

Situations in which the patient was not included in the discussion were primarily ones in which he or she was described as unconscious or incompetent, or when the main issue was resource allocation. In others, the issue was primarily with the family, as in cases where the problem was disagreement about what to tell the patient. There were also institutional issues that did not revolve around a particular patient, such as having to report illegal aliens, the implications of limitations to drug formularies, or hospital do not resuscitate policy.

When faced with situations they considered to be ethically difficult, the respondents looked for assistance and attempted to avoid conflict. Both were ways in which physicians tried to protect the integrity of their conscience and reputation, as well as the integrity of the group constituted by those who participated in the decisions.

Looking for assistance

When facing the ethical difficulties they described, most of the respondents looked for assistance. This could come from persons involved with the patient, or from persons trusted by the respondent for other reasons. At least six different reasons for looking for assistance were articulated:

- A need to obtain help in deciding what to do
- To identify a practical way of doing what had already been decided should be done
- To implement a practical solution
- To obtain reassurance that the correct decision was being made
- Better to face people who might otherwise think that the decision was inappropriate
- To seek consensus.

Table 2 Characteristics of the 310 respondents

Characteristic	No. (%) [*]
Demographics	
Male sex	247 (80)
Female sex	63 (20)
Median age (range 26–79 years)	40
Religion	
Protestant	88 (29)
Other Christian	93 (31)
Jewish	43 (14)
Muslim	14 (5)
Hindu	15 (5)
Buddhist	4 (1)
Atheist/agnostic	44 (14)
Other	3 (1)
Ethnicity	
Caucasian	249 (82)
Of which: Hispanic	21 (7)
African American	8 (3)
Native American	2 (1)
Asian	42 (14)
Other	4 (1)
Country of birth	
USA	206 (67)
Central or South America	17 (6)
Europe	19 (6)
Asia	36 (12)
Africa	5 (2)
Middle East	18 (6)
Australia/New Zealand	5 (2)
Ethics training	
Median no. ethics rounds attended (range 0–500)	8
Attended bioethics conference	110 (36)
Ever ethics committee member	68 (22)
Confidence about ethical standards	
Very confident	100 (33)
Moderately confident	183 (59)
Not very confident	23 (7)
Not at all confident	3 (1)
Access to an ethics consultation service, at primary site or elsewhere	258 (83)
Type of practice	
Solo practice	33 (11)
Single specialty group	120 (39)
Multi-specialty group	73 (24)
University hospital/practice	39 (13)
Veterans Affairs/military	8 (3)
Other hospital-based	19 (6)
Resident or fellow	13 (4)
Other	5 (2)
Medical faculty appointment	136 (44)
Median % of managed care reimbursement (range 0–100)	30
Main admitting hospital	
Public	125 (41)
Private	179 (59)
For profit	54 (18)
Not for profit	242 (82)
Median no. of patient beds (range 6–3450)	400
No. of people in a 20 mile radius	
<50 000	21 (7)
50 000–100 000	39 (13)
100 000–250 000	57 (19)
250 000–500 000	44 (14)
>500 000	147 (48)
Medical training	
Critical care	114 (37)
Internal medicine	80 (26)
Oncology	116 (37)
Entirely in the USA	216 (70)
Partly in the USA	84 (27)
Entirely abroad	9 (3)
MD subspecialty fellowship training	244 (79)
Additional degrees	45 (15)
Master of Public Health	8
Other Masters	14
PhD	21
Law	1
Other	1
Median total years in practice (range 2–57)	16

^{*}Results shown in valid percentages; missing data <2% of total.

Table 3 Issues, persons, and values (*n* = 310 respondents)

Most frequently identified issues*	%
Incurable or dying patient	65
Disagreement	54
Problematic proxy	39
Uncertainty about the best goal	27
Requests for futile treatment	24
Allocation	20
Refusal of intervention	19
Lack of knowledge/limits of medicine	13
Communication	10
Persons mentioned as included	
Patient	43
Situations including others besides physician and patient	79
Family/proxy	58
Team	18
Colleagues	15
Administration involved	7
Payer involved	6
Ethics support consulted	6
Most frequently articulated values	
Doing what is best	94
For the patient	92
For the family	9
Inclusiveness	61
Respect for the patient's self-determination	47
Patient advocacy	38
Defending life	38
Avoiding causing harm	28
To the patient	22
To the family	14
Maintaining integrity	22
Resource stewardship	12
Telling the truth	11
Prioritised values†	
Inclusiveness	76
Patient advocacy	69
Respect for the patient's self-determination	55
Doing what is best for the patient	56
Avoiding causing harm to the patient	52
Defending life	30

*Codes were not mutually exclusive.

†Percentages are the proportions of cases where these values were articulated by respondents.

One respondent gave this example of obtaining assistance to decide what to do:

[The problem was] release of confidential information in order to be employed and most patients are under-employed or unemployed and desperate for work. ... After consultation with [a] colleague and [a] lawyer [the decision was] to ask [the] patient to discuss [the] issue with [his] employer, and ... to contact me if any conflicts arise.

In the following case, assistance was obtained in finding a practical solution to transition from aggressive therapy to supportive care:

The patient became worse, progressive liver disease. Eventually the family was unwilling to have [a] discussion in front of [the] patient, believing she wouldn't want to know. Eventually the primary care physician [was] instrumental in coming to resolution for supportive care but not invasive intervention.

For some respondents the need for assistance to implement a solution to a difficult situation included, for example, "finding the resources that are available for a person to get drugs". One respondent reported the following case:

[The problem was] basically denial of payment by a third party for a needed life-sustaining service in a patient who could not afford it otherwise. Basically, when the HMO [health maintenance organisation] found out about the media coverage they began to be more interested in providing cover to the patient, plus with the help of the insurance consultant as well.

In some cases, the need for reassurance that a decision was deemed to be correct by others prompted the physician to request a second opinion, or to present the difficulty to a colleague for advice. In one respondent's words:

I had a patient whose partner, ... her live-in male companion, was a patient of my colleague and through my colleague I found out the partner was HIV positive. [The problem was] caring for my patient without breaching another doctor/patient confidence. ... All I could do was counsel her and my colleagues agreed that that was all I could do at the time.

Physicians also sought assistance in dealing with persons who might otherwise question the appropriateness of the decision being made. One respondent described an attempt to have a patient tell his wife that he wished life support to be withdrawn, so as to ensure that she did not question the team doing so:

He indicated he would not be removed from [the] vent when [his] wife was there, but when she was gone [he] expressed he wanted to come off [the] vent. ... The patient was felt to be competent *but* we needed him to state in front of his wife his wishes, so that there was no question that we had withdrawn life support without his desires being met ...

Finally, assistance led to consensus in some circumstances. Respondents reported "talking to different people ... for everyone to be comfortable". They "continued discussions with the family, back and forth" before making major decisions. They reported waiting for discussions to have led to agreement. In one example:

Despite the case being futile ... the patient continued to be supported, discussions were continued with various family members who were in disagreement among themselves. After an extended period of time the family came to [an] agreement that the patient's condition was terminal and elected to withdraw life support.

Assistance could decrease the moral burden of decision making for the respondent. When it was absent, this could be viewed as the main issue:

... the question was raised because of [there being] no family for guidance [on] what was the appropriate level of aggressiveness to go on with.

Avoiding conflict

The avoidance of conflict, between any parties, emerged as a goal in its own right. It often seemed to take priority over other goals. The approaches the respondents reported using to avoid conflict included putting in extra time and effort, and looking for assistance. Five different types of avoidance of conflict were present in the dataset:

- Addressing a potential conflict before it crystallised
- Not facing a conflict
- Withdrawing from the case
- Detaching oneself from the potential conflict
- Acquiescing in order to eliminate a disagreement.

In the following case, conflict was avoided by addressing it before it crystallised. The respondent identified that a conflict could arise and worked to prevent it:

... it took more work for patient and family to realise his prognosis; ... [The problem was] how to get the message without affecting the physician/patient relationship. [The] outcome [was] that patient and family realised [the] terminal prognosis, so they agreed to change the level of care as was appropriate.

An example in which avoidance of conflict meant not facing it is illustrated in the following situation. In this case, deception was used: the respondent did what he thought was right, and pretended to the patient's family that he had done what they thought was right.

Despite prolonged discussions with [the] family, they had no clue; I chose to withhold treatment, passively allowing the patient to progress towards dying without discussing [this] with [the] family. They would not allow a [do not resuscitate] order, so I used a cosmetic code, so the family perceived we did everything we could do but we didn't. We went through some motions but they thought we did more.

Respondents also reported withdrawing from the case altogether, as in one situation where one "felt restricted and stepped out" and "the ultimate decision was to have [the] primary care doctor take over care", as "he felt comfortable with the decision".

Others detached themselves from the potential conflict:

I had a consult called for by [a] junior colleague ... I said [the] patient should be seen in hospital. [The] head of department never has time to see patients ... [He] had [the] patient seen in [the] outpatient department instead. [The] patient had [a] pulmonary embolism ... [The] consultant should have gone to see [the] patient in hospital despite [the] pain of being moved around ... It's because he was [a] high profile individual [and] had power ... I detached myself after I told my junior colleague, who had called me in, what should be done.

Finally, some acquiesced in order to eliminate a disagreement. This could sometimes involve transgressing the patient's wishes. In one case, the main issue was that "the patient's wishes were not pursued", since a ventilator was started against her/his wishes. However, "after 3 days the family finally decided that the patient was taken off the ventilator". In this case, initially going against the patient's wishes bought time for the patient's and the family's wishes to become aligned. Conflict was avoided and the patient's wishes were respected in the end.

Conflict was not always avoided. In the following case, another goal was seen as more important and conflict was instrumental in pursuing that other goal:

[The] lady didn't want surgery; [the] family did and wore her down to have surgery. She was clear. I let [the] family

fight about it ... I thought [the] family was being reasonable. I let [the] family beat her up. ... It was a form of imposing the family and medical values ... [The] family [did the] dirty work.

As suggested by language in this response, even when it contributed to a resolution, conflict was viewed negatively.

Integrity

Looking for assistance and avoiding conflict both contributed to protecting, or attempting to protect, the integrity, or wholeness, of respondents' conscience and reputation, and the integrity of the group of individuals participating in the decision. This could mean integration of competing principles, holding true to commitments and standing up for one's judgement. In the dataset, integrity as wholeness also applies to groups, although in a more restricted sense. Protecting the integrity of the group in this sense means protecting its cohesiveness, its integration as an entity.

One respondent's struggle to integrate competing principles is apparent in the following example. In this case, the respondent reports the pull of two competing aspects of the situation, the need to adhere to the patient's wishes and the need to give her the best chance of surviving, and the attempt to salvage both:

[A] little old lady with [a] complex medical illness in intensive care unit [and on a] ventilator, wanted to have [the] ventilator discontinued and the family was struggling with it ... She developed an intercurrent problem. If treated [this] would have allowed her to survive; it was an invasive procedure. ... [The problem was] adhering to her wishes but offering her the best possibility of surviving and they seemed to be in conflict. We decided we would discontinue mechanical ventilation, but decided we would drain her pleural effusion. We didn't want her to die because she couldn't ... we wanted to offer the best chance of breathing after we pulled the tube out.

Integrity could also mean holding true to commitments. Respondents stated that "[the problem was] doing something against [their] belief" or that "the physician has to answer to the patient even when family members disagree and have unrealistic expectations".

It could also mean standing up for one's judgement. One respondent stated: "I was under pressure from nurses and the institution to withdraw care and I refused to do it".

The goals of protecting the integrity of the respondent's conscience, of her reputation, or of the group of individuals participating in the decision could seem incompatible with one another. When this happened, the respondents did not always assert their own views in resolving what to do:

There was terminal cancer and the patient acquired an infection, and the problem came up whether to pursue the question or just eliminate treatment of the infection and let the patient expire of septic shock or to treat it and let the patient die from lung cancer. The family demanded that no antibiotics or no liquid or food be given and the patient expired in 3 days. [The problem was] that a reversible condition could and should have been treated regardless of the prognosis of the terminal condition, which was malignancy, [but] we pulled all treatment and the patient expired.

Cases where protecting the integrity of the respondent's conscience and reputation were in tension could also be

perceived as very difficult, as in the case where the team needed the patient to repeat “in front of his wife” his request that the ventilator be discontinued, “so that there was no question”. In this case, an attempt to protect the respondent’s reputation as well as the respondent’s conscience may well have failed on both counts:

The conversation mostly consisted of his wife in tears saying she would die without him and she thought he would get better. I was blunt in my expression that she was focusing on her needs rather than her husband’s. He indicated he did not want off the vent. I questioned him about his wishes of yesterday. We were not able to do it ... I said no more questions were to be asked of [the] patient because he could not make up his mind, he had us in a very untenable situation.

Protecting the integrity of the group could entail reluctance to accept input from those perceived as outsiders. One respondent stated: “I dug my heels not wanting to make medical decisions based on people extraneous to the actual decision making”. Sometimes this could involve deciding who the group was, maybe in a manipulative way, apparently in order to make agreement more likely:

Um ... first [decision] was mine, to bring issues up with the family. Second [was] to leave [the] patient out of [the] decision-making process. [The] third decision was to involve [the] family ... [and] to allow [the] family’s expressed wishes to supersede those of the patient ... [the] other decision is leaving nurses and other people out of the process.

The need to preserve the integrity of the group of decision makers, obtain their support and assistance and avoid conflict sometimes took precedence over the prior wishes of an unconscious patient, or the pursuit of what was viewed as the patient’s best interest:

We had [a] patient who was nearly brain dead, but for whom the family’s religious convictions essentially required that we continue dialysis despite the presence of a living will to the contrary.

Families’ wishes could matter very much, even when clearly in opposition to patients’ wishes.

Conversely, in other examples, the need to protect both the respondent’s conscience and the integrity of the group of individuals involved in the decision could foster respect for a patient’s self-determination:

We decided that it is in the patient’s best interest to have knowledge of what she has despite the family’s concerns that she would “die faster” if she learned that she had an incurable disease. The info. was disclosed to the patient. The patient heard the options she had and made a decision based on knowing what she had and the potential risk and benefits of these options.

DISCUSSION

We have identified strategies that seem to play an important role in the practice of ethical decision making by physicians. These aspects have largely been overlooked in prior research on ethical decision making in medicine, which is based on truncated or hypothetical cases. In dealing with ethical

difficulties, our respondents tried to obtain assistance, avoid conflict, and protect the integrity of their conscience and reputation, as well as the integrity of the group of individuals participating in the decision. Respondents were faced with several competing goals. They did not always successfully achieve them. Moreover, while the goals pursued were worthy ones, in some cases the means employed were not necessarily the most likely to achieve those aims, or to avoid moral problems along the way.

Since the sample included only general internists, oncologists, and critical care specialists, generalisations to other medical specialties should, of course, be made cautiously, as should generalisations to countries other than the USA. The sample also included a greater number of men than women, and more Caucasian than ethnic minority physicians. The size of the sample, however, meant that, despite this, we had a substantial number of examples from women and ethnic minority physicians. Thus, the respondent group largely included the gender and ethnic diversity that is present in American medicine. A further point is that the respondents were likely to have told us of the cases that had made most impression on them rather than the most frequent and representative ones, and to have simplified the cases by selecting the elements most striking to them in their accounts. Additionally, respondents can present only a single point of view regarding the dilemmas they describe. This last feature of the data collection along with the approach of letting them use their own concept of what “ethically difficult” meant, precludes any analysis of the ethical appropriateness of the actions that respondents took. This approach, however, is likely to give a better insight into the types of cases that trouble physicians, and the elements that are most salient to them when they perceive a case as ethically difficult. Focusing on the ethically difficult cases they identified and sought to resolve is necessary if we are to explore their strategies in doing this. Our inferences are plausible; they make sense, and fit with each other as well as with other findings both from the same dataset and from other published research.

The findings illustrate the central place held by attempts to protect integrity when facing ethical difficulties. They also illustrate the complexity of the concept of integrity, which is often used as a synonym for “honesty”. However, its full meaning is more complex and includes wholeness, the integration of personality into a harmonious whole, holding steadfastly true to one’s commitments, and regarding one’s own judgement as one that should matter to others, as well as acting morally.^{23 24} It has been suggested that integrity could be a “cluster concept, tying together different, overlapping qualities of character under one term”.²³ The findings illustrate the presence of different aspects of this cluster in the practice of ethical problem solving in medicine.

The importance of avoiding conflict is prominent in the dataset. This, of course, does not make physicians unique. However, there is an expectation that physicians will make decisions based on their patients’ health and interests, regardless of the risk of conflict they could face in doing so. The importance of avoiding conflict, although not entirely surprising, thus has particular consequences in this setting. Physicians may be avoiding conflict for practical reasons, since it can be an obstacle to coordinated action, but they may also be avoiding conflict for other reasons: for its own sake, because facing it requires skills that they sometimes lack, or because it requires resources they consider better spent elsewhere. It is conspicuous that the respondents extremely rarely mentioned concern about litigation. While exploring this will require further research, it highlights the need for skills in conflict prevention and resolution in medical practice. Skills in moral reasoning and in moral

deliberation with others would also be useful in protecting integrity. Although ethics has been a part of most medical school curricula for some years, it does not always explicitly include these kinds of skills.^{27–30} Conflict prevention and resolution are usually completely absent from both clinical training and medical ethics curricula. Interpersonal skills such as interviewing are taught, but the focus is usually on obtaining and providing information, not negotiation or conflict prevention.

It is important that these findings also shed light on some reasons why physicians may prefer not to ask for an ethics consultation even in a situation they identify as ethically difficult. First, if physicians attempt to manage ethically difficult cases with the aim of avoiding conflict, they may not feel a need for outside help as long as they believe they can achieve this aim without it. The importance of conflict avoidance in the dataset also fits with other results from the same survey: conflict seems to be a trigger for ethics consultations.¹⁹ Ethics consultation appears to be perceived as a last resort rather than as the primary source of help in cases of ethical difficulty.

Secondly, even when seeking help, physicians may not be confident that an ethics consultation service would provide the right, or an effective, kind of assistance. Having an ethics consultant raise additional issues could seem more like a hindrance than a source of help. In the same survey, the types of assistance that physicians expected from ethics consultation overlapped only in part with what they reported seeking in the cases they described.³¹

Thirdly, protecting the integrity of the group of persons involved in the decisions sometimes led to exclusion of others from decision making. If ethics consultants are perceived as outsiders, this will reinforce any reluctance in seeking their help. This fits with one other study showing that physicians sometimes regard the use of an ethics committee as an intrusion into the physician–patient relationship, a loss of control, and an abdication of their responsibility.³² One way to overcome this difficulty would be to include ethics consultants earlier on, so that they would be a part of the group already when an ethical difficulty arises. This has been proposed elsewhere for other reasons.³³ Findings from the present study suggest additional advantages to such a proactive approach.

Ethical difficulties are frequent in clinical practice and it is not necessarily feasible or desirable to have ethics consultants involved in all of them. However, in cases where their help would be useful, obstacles to involving them could become problematic.

Greater understanding of how physicians face ethical difficulties is crucial. The findings indicate that they use a range of strategies in these situations. Systematic assessment of the success of these strategies in reaching ethically acceptable outcomes will now be needed. One intriguing facet of these strategies was the interaction between the effort to avoid conflict and the effort to foster the integrity of the group of persons involved in the decision. In some cases, specific persons were explicitly excluded from the group of decision makers. It could be important to confirm whether this is an attempt to keep the group conflict free, and thus avoid a form of failure, by redefining the group to include only people among whom no conflict is likely. This question could merit further investigation. “Who gets to participate in this decision?” is certainly a question present in many ethically difficult cases. A choice to keep persons out of the process because they are likely to disagree, and for no other reason, would certainly be morally suspect. If such choices are being made by well intentioned people for apparently good reasons, such as avoiding conflict, addressing this issue specifically could be important.

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